# Computational challenges in single-cell data analysis 

Raphael Gottardo, PhD
Professor of Biomedical Data Sciences
University of Lausanne and University Hospital of Lausanne

## Basics of immune correlate studies



## Motivation: The Case of Merkel Cell Carcinoma

- Increasingly common skin cancer
- Very aggressive: twice as likely to metastasize as melanoma
- $80 \%+$ of cases are caused by a virus (Merkel cell polyomavirus)
- Very difficult to treat until recently



## Drugs

Home > Drugs > Drug Approvals and Databases > Approved Drugs

## Approved Drugs

Hematology/Oncology (Cancer)
Approvals \& Safety Notifications

Drug Information Soundcast in Clinical Oncology (D.I.S.C.O.)

Approved Drug Products with Therapeutic
Equivalence Evaluations (Orange Book)

## FDA approves pembrolizumab for Merkel cell carcinoma

| $f$ SHARE | TWEET | in LINKEDIN | $\rho$ PIN IT | $\sim$ EMAIL | PRINT |
| :--- | :--- | :--- | :--- | :--- | :--- |

On December 19, 2018, the Food and Drug Administration granted accelerated approval to pembrolizumab (KEYTRUDA, Merck \& Co. Inc.) for adult and pediatric patients with recurrent locally advanced or metastatic Merkel cell carcinoma (MCC).

Approval was based on Cancer Immunotherapy Trials Network protocol 9 (CITN-09), also known as KEYNOTE017 (NCT02267603), a multicenter, non-randomized, open-label trial that enrolled 50 patients with recurrent locally advanced or metastatic MCC who had not received prior systemic therapy for their advanced disease. Patients received pembrolizumab $2 \mathrm{mg} / \mathrm{kg}$ every 3 weeks.

The major efficacy outcome measures were overall response rate (ORR) and response duration assessed by blinded independent central review per RECIST 1.1. The ORR was $56 \%(95 \% \mathrm{CI}: 41,70)$ with a complete response rate of $24 \%$. The median response duration was not reached. Among the 28 patients with responses, $96 \%$ had response durations of areater than 6 months and $54 \%$ had response durations of areater than 12


- 60 year old man with metastatic Merkel cell carcinoma, virus positive
- Has HLA-B*3502 restricted T cells recognizing an epitope of the Merkel cell polyomavirus
- Receives $T$ cell therapy and 2 immune checkpoint inhibitors
- Has an initial impressive partial response of >1 year, but then progression.
- At time of progression, has antigen (MCPyV positive tumor) and persistent T

> "Bulk" (e.g. RNAseq) profiling cannot reveal within tissue heterogeneity


## RNA-seq limitations



Possible in some cases to infer the fraction of the different cell

## Cell type-specific gene expression differences in complex tissues

Shai S Shen-Orr ${ }^{1,2,10}$, Robert Tibshirani ${ }^{3,4,10}$, Purvesh Khatri ${ }^{1}$, Dale L Bodian ${ }^{5,9}$, Frank Staedtler ${ }^{6}$, Nicholas M Perry ${ }^{7}$, Trevor Hastie ${ }^{3,4}$, Minnie M Sarwal ${ }^{1,2}$, Mark M Davis ${ }^{2,8,10} \&$ Atul J Butte ${ }^{1,10}$

We describe cell type-specific significance analysis of microarrays (csSAM) for analyzing differential gene expression for each cell type in a biological sample from microarray data and relative cell-type frequencies. First, we validated csSAM with predesigned mixtures and then applied it to whole-blood gene expression datasets from stable post-transplant kidney transplant recipients and those experiencing acute transplant 브 rejection, which revealed hundreds of differentially expressed genes that were otherwise undetectable.

Traditional microarray analysis methods are oblivious to sample cell-type composition. They can neither distinguish between variations in gene expression resulting from an actual physiologit cal change versus differences in cell-type frequency, nor identify cal change versus ditterences in cell-type frequency, nor identity
constituting cell subsets is unclear. This prevents assessment of the accuracy of deconvolution-derived profiles, their widespread application and development of such statistics-based techniques.
We tested the relationship between measured gene expression in mixed samples and the expression of genes in the isolated pure subsets, in a situation in which all factors are known. We analyzed tissue samples from the brain, liver and lung of a single rat in isolation (referred to as 'measured pure tissue') as well as in ten different mixture ratios (referred to as 'measured mixtures'; Supplementary Table 1) using Affymetrix expression arrays (Online Methods). Such mixtures mimic the common scenario in which biological samples in a dataset are heterogeneous and vary in the relative frequency of the component subsets from one another.

Next, we reconstituted mixture sample expression profiles by multiplying the measured pure tissue expression profiles by the frequency of the tissue subset in a given mixture sample. Overall, experimentally measured mixture data had high correlation with the reconstituted mixture data ( $r>0.95$; Supplementary Fig. 1). Probes for which data deviated from the diagonal comprised only a small fraction of the probes up to a twofold expression change cutoff (Supplementary Fig. 2); these probes were more abundant in experimentally measured mixtures than in reconstituted samples, likely because of nonlinear biases in sample amplification and normalization procedures or probe cross-hybridization (Supplementary Note 1,

## Computational deconvolution

(a) Partial deconvolution using available signatures

(b) Partial deconvolution using available proportions


$$
\mathrm{G}=\mathrm{FxC}+\boldsymbol{\epsilon}(\text { infer } \mathrm{C})
$$

## Computational deconvolution

(c) Complete deconvolution from global expression


11/12/21


G=FxC $+\boldsymbol{\epsilon}$ (infer F and C). Need additional constraints.
Can be done, e.g., via non-negative matrix factorization or Bayesian modeling, to name a few methods.

## A large number of available tools

- csSAM: General method for cell-type specific differential expression. (Shen-Orr et al. Nat. Methods 2010)
- EPIC: Estimating the Proportion of Immune and Cancer cells from bulk tumor gene expression data (Racle et al.,eLife 2017).
- CIBERSORT: Many types of immune cell reference profiles (Newman et al. Nat Meth. 2015).
- TIMER: Main immune cell types (Li et al. Genome Biology 2016).
- MCPcounter: Immune + stromal cells, good performance, but results not comparable across different cell types (Becht E et al. Genome Biology 2016).
- CellMix package in R is a good resource (Gaujoux and Seoighe, Bioinformatics 2013)

Many of these algorithms have now improved given the large number of gene expression signatures in the public domain (including single-cell level data).

## Bulk vs. single-cell



Bulk RNA-seq (~2008)


Single-cell RNA-seq (~2014)

While deconvolution can be done, it fails when the celltype proportions are small and/or cell types not well defined.

Hard to taste 1-2 blueberries in a smoothie or tell that there are raspberries (if you've never tasted a raspberry).

## Single-cell analysis



Quantify RNA and/or protein in each single-cell

## The progression of single-cell technologies over time (much simplified!)



## Single-cell analysis: Two main technologies



The two technologies are complementary!

Deep immune profiling via single-cell cytometry

## Manual analysis is still the gold standard



## The FAUST algorithm

- Interpretable machine learning approach
- Unambiguously finds all cell populations in a data-driven manner
- Complete phenotypic annotations and cell counts for biomarker screening, e.g. CD3+/CD4/CD8+/PD1 Dim
- Robust to biological and technological heterogeneity
- Compares favorably to many other competing approaches (e.g. Phenograph, flowSOM)
- (Greene et al. Patterns 2021)



## Article

## New interpretable machine-learning method for single-cell data reveals correlates of clinical response to cancer immunotherapy

Evan Greene, ${ }^{1,2, *}$ Greg Finak, ${ }^{1,2}$ Leonard A. D’Amico, ${ }^{1,4}$ Nina Bhardwaj, ${ }^{7}$ Candice D. Church, ${ }^{5}$ Chihiro Morishima, ${ }^{5}$ Nirasha Ramchurren, ${ }^{1,4}$ Janis M. Taube, ${ }^{6}$ Paul T. Nghiem, ${ }^{3,5}$ Martin A. Cheever, ${ }^{3,4}$ Steven P. Fling, ${ }^{1,4}$ and Raphael Gottardo ${ }^{1,2,8,9, *}$

${ }^{1}$ Vaccine and Infectious Disease Division, Fred Hutchinson Cancer Research Center, Seattle, WA, USA
${ }^{2}$ Biostatistics Bioinformatics and Epidemiology Division, Fred Hutchinson Cancer Research Center, Seattle, WA, USA
${ }^{3}$ Clinical Research Division, Fred Hutchinson Cancer Research Center, Seattle, WA, USA
${ }^{4}$ Cancer Immunotherapy Trials Network, Fred Hutchinson Cancer Research Center, Seattle, WA, USA
${ }^{5}$ Division of Dermatology, Department of Medicine University of Washington, Seattle, WA, USA
${ }^{6}$ Bloomberg Kimmel Institute for Cancer Immunotherapy and the Sidney Kimmel Comprehensive Cancer Center, Johns Hopkins University School of Medicine, Baltimore, MD, USA
${ }^{7}$ Tisch Cancer Institute, Icahn School of Medicine at Mount Sinai New York, NY, USA
${ }^{8}$ Centre Hospitalier Universitaire Vaudois et Université de Lausanne, Lausanne, Switzerland
${ }^{9}$ Lead contact
*Correspondence: egreene@fredhutch.org (E.G.), raphael.gottardo@chuv.ch (R.G.)
https://doi.org/10.1016/j.patter.2021.100372
11/12/21

## Analysis tools for cytometry analysis and standardization from our lab

1. Finak, G., Jiang, W., Gottardo, R., 2018. CytoML for cross-platform cytometry data sharing. Cytometry A 93, 1189-1196.


LARGE infrastructure to MANIPULATE AND PREPROCESS FLOW CYTOMETRY DATA IN R AND BIOCONDUCTOR


OPENCYTO SUITE (HDF5, FLOWJO INTEROPERABILITY, VISUALIZATION, ETC)


STATISTICAL MODELING OF CELL COUNTS


FUNDED BY NIH RO1 METHODS GRANT


AUTOMATED GATING
2. Van, P., Jiang, W., Gottardo, R., Finak, G., 2018. ggCyto: Next Generation Open-Source Visualization Software for Cytometry. Bioinformatics. https://doi.org/10.1093/bioinformatics/bty441
3. Finak et al. Standardizing Flow Cytometry Immunophenotyping Analysis from the Human ImmunoPhenotyping Consortium. Scientific Reports (2016).
4. Lin et al. COMPASS identifies T-cell subsets correlated with clinical outcomes. Nat. Biotech. (2015)
5. Lin et al. Identification and Visualization of Multidimensional Antigen-Specific T-Cell Populations in Polychromatic Cytometry Data. Cytometry A (2015).
6. Finak, G. et al. OpenCyto: An Open Source Infrastructure for Scalable, Robust, Reproducible, and Automated, End-to-End Flow Cytometry Data Analysis. PLoS CB (2014).
7. Aghaeepour, N. et al. Critical assessment of automated flow cytometry data analysis techniques. Nat. Methods (2013).

## nature immunology

```
Explore content \checkmark About the journal \checkmark Publish with us \checkmark
```

nature > nature immunology > comment > article
Comment | Published: 06 September 2021

## An updated guide for the perplexed: cytometry in the high-dimensional era

```
Thomas Liechti, Lukas M. Weber, Thomas M. Ashhurst, Natalie Stanley, Martin Prlic, Sofie Van Gassen &
Florian Mair }
Nature Immunology 22, 1190-1197 (2021) | Cite this article
9411 Accesses 78 Altmetric Metrics
```

High-dimensional cytometry experiments measuring 20-50 cellular markers have become routine in many laboratories. The increased complexity of these datasets requires added rigor during the experimental planning and the subsequent manual and computational data analysis to avoid artefacts and misinterpretation of results. Here we discuss pitfalls frequently encountered during high-dimensional cytometry data analysis and aim to provide a basic framework and recommendations for reporting âd analyzing these datasets.

# Deep immune profiling via scRNAseq 

## 10x Genomics



## Single cell 3' mRNA-seq



- UMI: Unique molecular identifier
- Enable counting of unique molecules
- Normalize for PCR biases
- 3' sequencing: Can only quantify gene expression, no alternative splicing
- 5' sequencing can also be used to infer T/B cell receptors


## From a single-cell to one million cells!

## FROM ONE TO MILLIONS

Biologists can now analyse RNA transcripts or chromatin accessibility in thousands or even millions of individual cells in parallel.


Rozenblatt-Rosen, O., Stubbington, M.J.T., Regev, A., Teichmann, S.A., 2017. The Human Cell Atlas: from vision to reality. Nature 550, 451-453.

## Challenges in single-cell genomics

- Technical issues
- Unwanted cell-to-cell variability
- Assay failure (e.g. due to cell capture, RNA extraction), empty droplets, etc
- Batch effects (Experimental design)
- Bi-modality
- A gene can be off or on at the single-cell level. Standard statistical models might not be appropriate
- Data are sparse (lots of zeros)
- Large datasets
- Possibly thousands of genes in thousands of cells with complex designs. Pay attention to computational implementations.


## Common computational problems

- Alignment and gene expression quantification
- Normalization and batch effect correction
- Dimension reduction
- Clustering and cell annotation
- Differential gene expression
- Trajectory analysis


## Methods/tools for single-cell genomics from our lab

- Optimization of primers Filtering criteria for cells/probes
- Statistical model for zero-inflated distributions (bimodality)
- Gene-set enrichment analysis
- Support for multiple single-cell platforms (Fluidigm, NanoString, 10X)
- Tools development within Bioconductor
- Hao, Y et al. 2021. Integrated analysis of multimodal singlecell data. Cell 184, 3573-3587.e29.
- Amezquita, ..., Gottardo, R., Hicks, S.C., 2020. Orchestrating single-cell analysis with Bioconductor. Nat. Methods 17, 137145.
- Mair, F., Erickson, J.R., Voillet, V., Simoni, Y., Bi, T., Tyznik, A.J., Martin, J., Gottardo, R., Newell, E.W., Prlic, M., 2020. A Targeted Multi-omic Analysis Approach Measures Protein Expression and Low-Abundance Transcripts on the SingleCell Level. Cell Rep. 31, 107499.
- McDavid, Finak, and Gottardo The Contribution of Cell Cycle to Heterogeneity in Single-Cell RNA-Seq Data. Nature Biotechnology (2016).
- Finak, G. et al. MAST: A Flexible Statistical Framework for Assessing Transcriptional Changes and Characterizing Heterogeneity in Single-Cell RNA-Seq Data. Genome Biology (2015).
- McDavid, A. et al. Modeling bi-modality improves characterization of cell cycle on gene expression in single cells. PLoS CB (2015).
- McDavid, A. et al. Data exploration, quality control and testing in single-cell qPCR-based gene expression experiments. Bioinformatics 29, 461-467 (2013).


## Environments for data analysis

Python

ScanPy

R

Monocle

Seurat

# Orchestrating single-cell analysis with Bioconductor 

Robert A. Amezquita ${ }^{\left({ }^{1} \text {, }\right.}$, Aaron T. L. Lun ${ }^{2,16}$, Etienne Becht ${ }^{1}$, Vince J. Carey ${ }^{3}$, Lindsay N. Carpp ${ }^{\left({ }^{1}{ }^{1} \text {, }\right.}$ Ludwig Geistlinger ${ }^{4,5}$, Federico Marini ${ }^{\circ}{ }^{6,7}$, Kevin Rue-Albrecht $\odot^{8}$, Davide Risso ${ }^{9,10}$, Charlotte Soneson ${ }^{(111,12}$, Levi Waldron $\odot^{4,5}$, Hervé Pagès ${ }^{1}$, Mike L. Smith $®^{13}$, Wolfgang Huber ${ }^{13}$, Martin Morgan ${ }^{14}$, Raphael Gottardo ${ }^{1 \star}$ and Stephanie C. Hicks ${ }^{\left({ }^{15 \star}\right.}$<br>Recent technological advancements have enabled the profiling of a large number of genome-wide features in individual cells. However, single-cell data present unique challenges that require the development of specialized methods and software infrastructure to successfully derive biological insights. The Bioconductor project has rapidly grown to meet these demands, hosting community-developed open-source software distributed as $\mathbf{R}$ packages. Featuring state-of-the-art computational methods, standardized data infrastructure and interactive data visualization tools, we present an overview and online book (https://osca. bioconductor.org) of single-cell methods for prospective users.

$\bigcirc$
ince 2001, the Bioconductor project ${ }^{1}$ has attracted a rich community of developers and users from diverse scientific fields, driving the development of open-source software packages using the R language for the analysis of high-throughput biological data ${ }^{2-6}$. While bulk profiling technologies have yielded important scientific insights and methods ${ }^{7-9}$, recent advancements in sequencing technologies to profile samples at single-cell resolution have emerged that can answer previously inaccessible scientific questions ${ }^{10-20}$. Bioconductor has been home to a wide range of software packages used in analyzing bulk profiling data, and more recently it has expanded significantly into the realm of single-cell data analysis with a rapidly growing list of community-contributed software packages (Fig. 1).
Current single-cell assays can be both high-throughput, Sineasur-
single-cell RNA-seq (scRNA-seq) data, much of the concepts mentioned are also generalizable to other types of single-cell assays. We cover data import, common data containers for storing single-cell assay data, fast and robust methods for transforming raw single-cell data into processed data suitable for downstream analyses, interactive data visualization, and downstream analyses. To help users leverage this robust and scalable framework, we describe selected packages and present an online book (https://osca.bioconductor. org) covering installation, sources of help, specialized topics pertaining to specific aspects of scRNA-seq analysis and complete workflows analyzing various scRNA-seq datasets. The references for all packages are available at http://bioconductor.org/packages/.

## Bioconductor - Open Source Software for Bioinformatics in R

- Built in and for R
- Focused on the analysis of genomic data, with a rich history of software and methods development that has spanned the era of sequencing



## Overall Framework for Analysis of scRNA-seq



# Gene expression quantification 

## Alignment and quantification - Overview

- First step of any analysis is to align reads to a reference genome to quantify transcript/gene expression for each gene within each cell
- The reference genome will typically be specific for your study (e.g. human/mouse, etc) and might include additional sequence/annotations for quantifying non-host expression (e.g. viral genes, CAR T gene, spike-in controls)
- Large number of available tools for sequence alignment and quantification (e.g. CellRanger, kalisto (Bray et al. Nat. Biotech. 2016))


## Introducing the SingleCellExperiment class

An S4 class object used to store the primary data, data transformations, and metadata associated with a single-cell experiment or collection thereof

Arranges the data into specialized subcontainers called slots, which can be accessed via standardized accessor functions that allow for creation of, modification, and supplementation of (meta)data

library(SingleCellExperiment) sce <- SingleCellExperiment(...)

## Infrastructure Matters: Why SingleCellExperiment is Awesome

Ability to analyze across a universe of Bioconductor packages

The consistent, extensible interface makes it possible for plethora of packages with various objectives to work with one another in a workflow, from reading in raw data to normalization to visualization


Packages which have a dependency on the SingleCellExperiment class
https://seandavi.github.io/2019/05/
single-cell-packages-and-dependencies-in-bioconductor-using-biocpkgtools/

## Dimensionality reduction

## Dimensionality reduction - Overview

- Basic idea: Reduce the number of variables while retaining most of the (biological) information in the original dataset
- Feature down-selection: Basic filtering of non-informative genes, e.g. zero expression values or low variability
- Data transformation and compression: Define a minimal set of new variables that maximize information content. Transformation can be linear and non-linear (e.g. PCA, tSNE)


## Principal component analysis

- Capture the intrinsic variability in the data.
- Reduce the dimensionality of a data set, either to ease interpretation or as a way to avoid overfitting and to prepare for subsequent analysis.
- Linear, so principal components (PC) can be more interpretable (compared to non-linear approaches t-SNE)
- Not efficient for capturing non-linear structures
- More than 2 PCs might be needed for efficient compression


## Principal component analysis - Toy example



## t-Distributed Stochastic Neighboring Embedding

- Non-linear dimensionality reduction
- Originally developed by van der Maaten and Hinton
- Became popular for single-cell analysis when applied to CyTOF data (viSNE, Amir et al. 2013)
- Efficient data compression even with 2 components
- Sensitive to initial values and tuning parameters. Different runs can output very different plots
- t SNE dimensions and distances are not interpretable
- Generally speaking, the resulting structure is fairly robust
- https://distill.pub/2016/misread-tsne/


## tSNE intuition

X observed (high-dimensional) data Y lower dim representation

$$
p_{j \mid i}=\frac{\exp \left(-\left\|\mathbf{x}_{i}-\mathbf{x}_{j}\right\|^{2} / 2 \sigma_{i}^{2}\right)}{\sum_{k \neq i} \exp \left(-\left\|\mathbf{x}_{i}-\mathbf{x}_{k}\right\|^{2} / 2 \sigma_{i}^{2}\right)} \quad \xrightarrow[\text { Approximate }]{ } q_{i j}=\frac{\left(1+\left\|\mathbf{y}_{i}-\mathbf{y}_{j}\right\|^{2}\right)^{-1}}{\sum_{k} \sum_{l \neq k}\left(1+\left\|\mathbf{y}_{k}-\mathbf{y}_{l}\right\|^{2}\right)^{-1}}
$$

By minimizing the KL divergence

$$
\mathrm{KL}(P \| Q)=\sum_{i \neq j} p_{i j} \log \frac{p_{i j}}{q_{i j}}
$$

## tSNE vs. PCA - Example

## MCC project

Data normalized and unwanted sources of variation (nUMI) are removed - Seurat Analysis


# Dimensionality reduction for visualizing single-cell data using UMAP 

Etienne Becht ${ }^{1}$, Leland McInnes ${ }^{2} \oplus$, John Healy ${ }^{2}$, Charles-Antoine Dutertre ${ }^{1}$, Immanuel W H Kwok ${ }^{1}$, Lai Guan $\mathrm{Ng}^{1}$, Florent Ginhoux ${ }^{1 \oplus}$ \& Evan W Newell ${ }^{1,3 \odot}$

Advances in single-cell technologies have enabled highresolution dissection of tissue composition. Several tools for dimensionality reduction are available to analyze the large number of parameters generated in single-cell studies. Recently, a nonlinear dimensionality-reduction technique, uniform manifold approximation and projection (UMAP), was developed for the analysis of any type of high-dimensional data. Here we apply it to biological data, using three wellcharacterized mass cytometry and single-cell RNA sequencing datasets. Comparing the performance of UMAP with five other tools, we find that UMAP provides the fastest run times, highest reproducibility and the most meaningful organization of cell clusters. The work highlights the use of UMAP for improved visualization and interpretation of single-cell data.

The past decades have witnessed a large increment in the number of parameters analyzed in single-cell cytometry and transcriptome studies. Parameter numbers currently reach $\sim 20$ for flow cytometry, $\sim 40$ for mass cytometry and $>20,000$ in single-cell RNA sequencing ( $s c$ RNAseq). Dimensionality reduction techniques have been pivotal in enabling researchers to visualize high-dimensional data. Although principal component analysis (PCA) has historically been the most commonly used method for dimensionality reduction, the impor tance of nonlinear dimensionality reduction techniques has recently been recognized. Nonlinear dimensionality reduction techniques are, notably, able to avoid overcrowding of the representation, wherein distinct clusters are represented on an overlapping area. Nonlinear dimensionality reduction methods ${ }^{1}$ include Isomap ${ }^{2}$, Diffusion Map $^{3}$ and $t$-distributed stochastic neighborhood embedding ( t -SNE ${ }^{4}$, renamed $\mathrm{viSNE}^{5}$ ). t -SNE is currently the most commonly used tech nique in single-cell analysis. It has been used to efficiently reveal local data structure and is widely used to identify distinct cell popu-
intercluster relationships), slow computation time and inability to meaningfully represent very large datasets ${ }^{6}$. A new algorithm, called uniform manifold approximation and projection (UMAP) has been recently published ${ }^{7,8}$ and is claimed to preserve as much of the local and more of the global data structure than t -SNE, with a shorter run time. Given the wide use of t-SNE in the analysis of flow and mass cytometry data, as well as scRNAseq data, here we test these claims on three well-characterized single-cell datasets ${ }^{9-11}$. We also visually and quantitatively compare the performance of UMAP with the widely used Barnes-Hut implementation of t -SNE ${ }^{12}$; the heavily optimized Fourier-interpolated t -SNE, with or without late exaggeration (FIt-SNE l.e. or FIt-SNE, respectively) ${ }^{13}$; and the autoencoder neural network scvis ${ }^{14}$.

## RESULTS

Qualitative comparison of UMAP with t-SNE
We ran UMAP and t-SNE simultaneously on a dataset covering 35 samples originating from 8 distinct human tissues enriched for T and natural killer (NK) cells, of more than >300,000 events with 39 protein targets ${ }^{11}$ (the Wong dataset; Supplementary Table 1). Using the Louvain clustering-based Phenograph ${ }^{15}$ algorithm and manual cluster labeling, we classified events into six broad cell populations (Supplementary Fig. 1a). UMAP and t-SNE were both successful at pulling together only clusters corresponding to similar cell populations with generally very good correspondence with Phenograph clustering (Fig. 1a and Supplementary Fig. 1b). However, t-SNE separated cell populations into distinct clusters more commonly than UMAP, notably splitting CD8 T cells, $\gamma \delta \mathrm{T}$ cells and contaminating cells (likely including B cells) into two distinct clusters each. Nonetheless, while these cellds were not always segregated into completely distinct clusters by UMAP, these cell populations remained similarly identifiable in UMAP as compared to $t$-SNE, both techniques surpassing PCA


Cell types
11/12/21

- Contaminant (including B)
- CD4 Tivev CD8 T

MAIT
NK/ILC
$\gamma \delta$ T

# Normalization 

## Overall goals of normalization

- Normalization is needed to ensure that observed differences in counts (across samples, biological conditions) are indeed biological and not due to some technical artifact (e.g. array batch, technician, sequencing depth, etc)
- Normalization strategies must capture biases that are specific to the technology of interest
- Many techniques exist for bulk RNA-seq
- Problem here: What is a technical replicate? How can we differentiate technical vs biological variation?


## Global-scaling normalization

## - From molecules to reads



## Global-scaling normalization

- Developed for bulk RNA-seq experiments (mixture of 1,000$1,000,000$ cells) where gene expression is more homogeneous
- Reads per million (RPM), (or related RPKM and TPM) Standardizes the total number of reads between samples (librarysize normalization)
- These estimates can be dominated by a handful of highly expressed genes, and this can bias results
- Alternative approaches are the trimmed mean of $M$ values (TMM) and DEseq. Use a reference samples and exclude extreme values.
- No much data on how TMM and DEseq perform on scRNA-seq


## Spike-in sequences and normalization

- Scaling factor normalization cannot distinguish between technical biases and true biological variation
- Extrinsic control genes. Spike-in sequences are added to each cell lysate at (theoretically) constant and fixed amounts.
- External RNA Control Consortium (ERCC) molecules
- Critical assumption: technical effects equally affect the intrinsic and extrinsic genes
- Major technical challenge: Calibrating the added number of spike-in molecules
- In practice spike-ins based normalization is not very effective


## Tailored normalization strategies for scRNAseq

- BASiCS (Vallejos et al. PLoS CB 2015)
- SCNorm (Bacher et al. Nat. Methods 2017)
- scTransform (Hafemeister \& Satija, Genome Biology 2019) implemented in Seurat. scTransform performs ( $\sim \log$ ) transformation and normalization at the same time.
- MAST (Finak et al. Genome Biology 2015) include a normalization factor in our differential gene expression analysis (More on this later)


## Basics of scTranform

- Inspired by methods from bulk RNA-seq (e.g. DEseq, edgeR)
- Parameters estimated by regularized Negative-Binomial regression

$$
\begin{array}{ll}
\log \left(\mathbb{E}\left(x_{i}\right)\right)=\beta_{0}+\beta_{1} \log _{10} m, \\
& \begin{array}{l}
\text { Transformation } \\
z_{i j}
\end{array}=\frac{x_{i j}-\mu_{i j}}{\sigma_{i j}} \\
\mu_{i j}=\exp \left(\beta_{0_{i}}+\beta_{1_{i}} \log _{10} m_{j}\right) \\
\sigma_{i j}=\sqrt{\mu_{i j}+\frac{\mu_{i j}^{2}}{\theta_{i}}}
\end{array}
$$

A


B


UMAP 1


UMAP 1

- Origitaî ${ }^{2}$ 2 Downsampled

E


DE classification of genes in monocytes before downsampling CD16+ group

## Batch effect correction: regression methods

## Motivation

- Batch effects are technical sources of variation that have been added to the samples during handling. Example of batch variables: lot number, technician, instrument settings, plate, etc.
- More common with high throughput technologies
- If not adjusted for, these batch variables can have a substantial effects on downstream analysis.
- Normalization will not always correct for batch effects. Technical variation due to batch effects might only affect a subset of the genes.


## Adjusting for batch effects

- Two scenarios:

1. You have information about the batch variable

Use your batch effect as a covariate in your analysis (e.g. MAST, Harmony)
2. You suspect a batch effect, but you don't know where it is coming from
The batch effect needs to be estimated first and then corrected for, by adding the estimated variables as co-variates

## Quality control and back variability

## - Look at your data!

- Use a dimension reduction technique (e.g. PCA/tSNE) and plot individual samples (i.e. cells) coloring each cell by various metadata variable (e.g. processing time, plate, etc)
- Do you see variation that can be explain by your experimental factors?
- Yes: Include them in your model
- No: Try to estimate potential batch effects


## Single-sell RNA-seq: 4 PBMC samples



- Cells arrange themselves by gene expression
- Different time points overlay (processing effects minimal)


## Surrogate variable analysis

- Why not use principal component analysis (PCA) to detect potential batch effects?
- Problem: Biological variation or technical variation?
- Solution: Regress out all known biological/technical variation (e.g. using a linear regression) and use PCA on residuals
- Use the first $k$ principle components as estimated batch effects (surrogate variables)
- How many surrogate variables should one use ( $k=1,2$, etc) ?


## CDR effects in single-cell RNAseq

- Principal component analysis leads to cellular detection rate (CDR)


## DCs:

Shalek et al. Nature (2014) Mouse dendritic cells (color coded by time of stimulation)





## CDR effects in 10X Genomics



Cell_Type

- b_cells
- cd14_monocytes
- cd34
- cd4_t_helper
cd56_nk
- cytotoxic_t
- fresh_68k_pbmc_donor_a
- frozen_pbmc_donor_a
- frozen_pbmc_donor_b frozen_pbmc_donor_c
- memory_t
- naive_cytotoxic
- naive_t
- regulatory_t


Human Cell Atlas (Bone marrow) (2019)

Zheng et al. (2017). "Massively Parallel Digital Transcriptional Profiling of Single Cells." Nature Communications 8 (January): 14049.

## The cellular detection rate (CDR): Unwanted variability

- CDR = proportion of expressed gene in a cell (Finak et al. 2015)
- Later described in Hicks et al. 2017
- Huge source of variability, possibly confounded with treatment effects
- Mixed of technical and biological (e.g. size, see Padovan-Merhar et al. 2015)
- Empirical estimates (e.g. mean expression) and associated effects (i.e. differentially expressed genes) can be inflated if not adjusted for this
- CDR is highly correlated with UMI numbers
- We always adjust for CDR in differential expression analysis (more on this latter)


## Batch effect correction: alignment methods

## Batch correction through data alignment

- Many algorithms available:
- Seurat v3 (Butler et al. 2018), MNN (Haghverdy et al. 2018), Harmony (Korsunsky et al. 2019), etc.
- These algorithms basically transform the original data in a way to minimize between batch variation and retain within sample variation (i.e. cell type variation)
- The result is a corrected expression matrix


## Example: Harmony

 dataset representation

# A benchmark of batch-effect correction methods for single-cell RNA sequencing data 

Hoa Thi Nhu Tran ${ }^{\dagger}$, Kok Siong Ang ${ }^{\dagger}$, Marion Chevrier ${ }^{\dagger}$, Xiaomeng Zhang ${ }^{\dagger}$, Nicole Yee Shin Lee, Michelle Goh and Jinmiao Chen ${ }^{*}$ (D)


#### Abstract

Background: Large-scale single-cell transcriptomic datasets generated using different technologies contain batchspecific systematic variations that present a challenge to batch-effect removal and data integration. With continued growth expected in scRNA-seq data, achieving effective batch integration with available computational resources is crucial. Here, we perform an in-depth benchmark study on available batch correction methods to determine the most suitable method for batch-effect removal. Results: We compare 14 methods in terms of computational runtime, the ability to handle large datasets, and batch-effect correction efficacy while preserving cell type purity. Five scenarios are designed for the study: identical cell types with different technologies, non-identical cell types, multiple batches, big data, and simulated data. Performance is evaluated using four benchmarking metrics including KBET , LISI, ASW, and ARI. We also investigate the use of batch-corrected data to study differential gene expression. Conclusion: Based on our results, Harmony, LIGER, and Seurat 3 are the recommended methods for batch integration. Due to its significantly shorter runtime, Harmony is recommended as the first method to try, with the other methods as viable alternatives.


## Alignment methods and statistical inference

- Correction/alignment methods should only be used for visualization and/or clustering or cell type assignment (more on this later)
- It is not recommended to perform statistical inference on corrected values. This could lead to poorly calibrated results due to possible confounding of batch and biological variables
- The preferred approach is to model the uncorrected data and account for batch variables (e.g. add these as covariates in your statistical model) possibly conditional on cell type assignments (or clustering results)


## Experimental design and batch effects

- Is there a way to avoid batch effects altogether?
- Maybe:
- Optimize/standardize your assay to reduce variability as much as possible
- Group samples of interest (i.e. samples/conditions you wish to compare) within the same batch (if possible)
- Use controls and/or technical replicates (if feasible) that can be used to estimate batch variability and correct for it


## Why experimental design matters!

McDavid, A. et al. Modeling bi-modality improves characterization of cell cycle on gene expression in single cells. PLoS CB (2015).


## Why experimental design matters！

NATURE BIOTECHNOLOGY｜COMPUTATIONAL BIOLOGY｜ANALYSIS
$\propto$
日本語要約

## Computational analysis of cell－to－cell heterogeneity in single－cell RNA－sequencing data reveals hidden subpopulations of cells

Florian Buettner，Kedar N Natarajan，F Paolo Casale，Valentina Proserpio，Antonio Scialdone，Fabian J Theis，Sarah A Teichmann，John C Marioni \＆Oliver Stegle
Affiliations｜Contributions｜Corresponding authors

## ＂Cell cycle variation affects global gene expression＂

Nature Biotechnology 33，155－160（2015）｜doi：10．1038／nbt． 3102
Received 26 January 2014 ｜Accepted 05 November 2014 ｜Published online 19 January 2015


## Abstract

Abstract • Introduction－Results • Discussion • Methods－Accession codes • References ．
Acknowledgments ．Author information ．Supplementary information
Recent technical developments have enabled the transcriptomes of hundreds of cells to be assayed in an unbiased manner，opening up the possibility that new subpopulations of cells can be found．However，the effects of potential confounding factors，such as the cell cycle，on the heterogeneity of gene expression and therefore on the ability to robustly identify subpopulations remain unclear．We present and validate a computational approach that uses latent variable models to account for such hidden factors．We show that our single－cell latent variable model （scLVM）allows the identification of otherwise undetectable subpopulations of cells that correspond to different stages during the differentiation of naive T cells into T helper 2 cells．Our approach can be used not only to identify cellular subpopulations but also to tease apart different sources of gene expression heterogeneity in single－cell transcriptomes．

## Cell-cycle variation or technical variation? <br> NATURE BIOTECHNOLOGY | OPINION AND COMMENT | CORRESPONDENCE

The contribution of cell cycle to heterogeneity in single-cell RNA-seq data

Andrew McDavid, Greg Finak \& Raphael Gottardo
Affiliations | Corresponding author

Nature Biotechnology 34, 591-593 (2016) | doi:10.1038/nbt. 3498
Published online 09 June 2016


Subject terms: Cell division - Computational models • Statistical methods
To the Editor:

In the February 2015 issue, Buettner et al. ${ }^{1}$ reported a computational approach to estimate and remove latent sources of variation, such as cell cycle stage, in gene expression data on single cells. Here we suggest that this variation is largely explained by geometric library size rather than cell cycle stage. Furthermore, we argue that the exogenous spike-ins used by Buettner et al. ${ }^{1}$ to adjust for technical variation in library preparation and sequencing depth may have led to poorly normalized read counts

Recently, we profiled gene expression in 930 cells targeting canonical cell cycle genes ('ranked' genes) and genes without known cell cycle annotation ('unranked' genes) across three cell lines ${ }^{2}$ We estimated that cell cycle explained $17 \%$ of the generalized linear model deviance (analogous to ANOVA $R^{2}$ ) in the typical ranked gene, and $5 \%$ in the typical unranked gene. On the basis of these results, we concluded that cell cycle did not cause substantial variability in single-cell gene expression. Our findings were not concordant with those reported in Buettner et al. ${ }^{1}$, and we therefore sought to explain the discrepancies.


## Sequencing depth

- Improper normalization
- Poor experimental design
- Cell cycle phase confounded with C1 chip
- No replication

Clustering

## Clustering overview

Goal: Group data points (cells) that "look similar"

- Most methods are distance based, groups cells that are close together $\rightarrow$ What distance metrics?
- How many cell subsets? $\rightarrow$ Trade-off between overfitting and lack of fit
- What are my cells? Most algorithms do not return a phenotype
- Getting results out of a clustering algorithm is easy making sense of it harder
- Usually helpful to use t-SNE plots and gene expression to visualize and label cellpopulations


## Clustering scRNA-seq data

- Clustering is not a new problem!
- For example, lots of prior work in flow cytometry
- scRNA-seq data present new challenges: High dimensionality, zero-inflation, large datasets
- Lack of ground truth and benchmark datasets


## Clustering tools for scRNA-seq data

- Several tools have been developed (non-exhaustive list)
- Phenograph (Levine et al. Cell 2015) originally developed for CyTOF data
- FlowSOM (Van Gassen et al. Cytometry A 2015) originally developed for CyTOF data
- Louvain and Leiden graph clustering (Traag et al. Sci. Rep. 2019), also implemented in Seurat and the igraph R package
- SC3 (Kiselev et al. Nat. Meth. 2017) an ensemble clustering approach
- SNN-cliq (Xu \& Su, Bioinformatics 2015)


## Graph-based clustering

- Many of the algorithms listed on the previous slide are based on graph-based clustering
- These methods embed cells in a graph structure (ex: a K-nearest neighbor (KNN) network), with edges drawn between cells with similar gene expression patterns, and then attempts to partition this graph into highly interconnected communities.


CD8+ effector population enriched in blood at time of treatment response


## Cell type annotation

## Manual cell type annotation

Time point:


- 1
- 2
- 3

4

- Cells arrange themselves by gene expression
- Different time points overlay (processing effects minimal)


## Manual cell type annotation


tSNE 1


## Cell types in tumor biopsies



## Supervised cell-type annotation

- Most cell-type annotation are supervised (or semi-supervised) in the sense that they used either known marker genes or cell-type signatures (derived from sorted bulk or single-cell data) to predict cell type labels
- Can be done at the single-cell level or cluster level
- Many methods available:
- SingleR (Aran et al. Nat. Immunol. 2019)
- scPre (Alquicira-Hernandez et al. Genome Biology 2019)
- Azimuth (Hao et al. Cell 2021)


## CITE-seq: RNA + protein in single-cells



CITE-seq: Stoeckius, et al. Nat. Methods 2017.


- Can interrogate 100 s of protein in single-cells along with targeted or unbiased gene expression
- The best of both worlds:
- cytometry + RNA-seq in the same cell

Collaboration with Prlic, Newell Labs Abseq: Mair, et al. Cell Reports 2020.

## Identifying major cells types with proteins



- Abseq and CITE-seq can be analyzed just like traditional cytometry data using manual (or automated) gating
- Define cell phenotypes using protein expression data, and then quantify RNA expression changes for each phenotype


## Visualizing phenotypes in RNA space



## Visualizing phenotypes in protein space



## Weighted-nearest neighbor: combining



Figure 3: A multimodal atlas of human PBMC

Weighted-nearest neighbor: combining - RNA/protein


- Weights can then be used to compute a weighted nearest neighbor (WNN) graph
- WNN can be used for visualization and clustering



## DITE-Seq



Flow cytometry


Differential gene expression analysis

## Bimodality (zero-inflation) in scRNA-seq

- Clear bimodality of expression
- Threshold expression values and set the low expression to zero
- Adaptive: Gene specific thresholds derived through density estimation
- Fixed: $\log 2(T P M+1)>1$


## DCs (Shalek et al. 2014)



MAITs (Finak et al. 2016)


## Zeros: real or noise?



- Fluidigm Biomark profiling HIVspecific T-cells
- Dataset from McDavid et al. (2013)

Keep
zeros

- Zeros reflect true expression values, removing them leads to poor concordance
- Need to model zeros explicitly

Exclude zeros

# MAST: a flexible statistical framework for assessing transcriptional changes and characterizing heterogeneity in single-cell RNA sequencing data 

Greg Finak ${ }^{1 \dagger}$, Andrew McDavid ${ }^{1 \dagger}$, Masanao Yajima ${ }^{1 \dagger}$, Jingyuan Deng ${ }^{1}$, Vivian Gersuk ${ }^{2}$, Alex K. Shalek ${ }^{3,4,5,6}$, Chloe K. Slichter ${ }^{1}$, Hannah W. Miller ${ }^{1}$, M. Juliana McElrath ${ }^{1}$, Martin Prlic ${ }^{1}$, Peter S. Linsley ${ }^{2}$ and Raphael Gottardo ${ }^{1,7^{*}}$


#### Abstract

Single-cell transcriptomics reveals gene expression heterogeneity but suffers from stochastic dropout and characteristic bimodal expression distributions in which expression is either strongly non-zero or non-detectable. We propose a two-part, generalized linear model for such bimodal data that parameterizes both of these features. We argue that the cellular detection rate, the fraction of genes expressed in a cell, should be adjusted for as a source of nuisance variation. Our model provides gene set enrichment analysis tailored to single-cell data. It provides insights into how networks of co-expressed genes evolve across an experimental treatment. MAST is available at https://github.com/RGLab/MAST.

Keywords: Bimodality, Cellular detection rate, Co-expression, Empirical Bayes, Generalized linear model, Gene set enrichment analysis


## MAST: A unified computational framework

- Model-based Analysis for Single-cell Transcriptomics
- Support for multiplexed-qPCR, NanoString, and scRNA-seq
- Thresholding and filtering methodology
- Semi-continuous model for estimation and inference
- Flexible framework for modeling effects and covariates (e.g. CDR, subjects)
- Gene set enrichment analysis
- Finak et al. Genome Biology (2015).
- R package: https://github.com/RGLab/MAST
- Builds on McDavid et al. (2013) and McDavid et al. (2014)


## MAST performance

- Among the best tools for differential expression in single-cell RNA-seq
- Dal Molin, A., Baruzzo, G., Di Camillo, B., 2017. Single-Cell RNA-Sequencing: Assessment of Differential Expression Analysis Methods. Front. Genet. 8, 62.
- Jaakkola, M.K., Seyednasrollah, F., Mehmood, A., Elo, L.L., 2016. Comparison of methods to detect differentially expressed genes between single-cell populations. Brief. Bioinform.
- Soneson, C., Robinson, M.D., 2017. Bias, Robustness And Scalability In Differential Expression Analysis Of Single-Cell RNA-Seq Data. bioRxiv.
- Good false discovery rate control, good power, fast and applicable to different data types


## RNA expression of MCC tumor before treatment and at the time of progression




## Unbiased approach: significant increase in HLA-E expression

Selected differentially expressed genes

Selected differentially expressed genes in MCC



- HLA-E functions as an inhibitory ligand for NK cells
- Patient was subsequently non-responsive to NK boosting strategies


## Validation patient (targeting HLA-A)


tSNE 1

Tumor-specific HLA-A loss

tSNE 1PBMC ( $n=5870$ )Tumor Bx ( $n=5397$ )
Differential HLA-A, -B

"Discovery" patient
HLA-B restricted
MCPyV specific CD8s MCPyV specific CD8s

## Trajectory analysis

## Problem overview

- Pseudotemporal ordering and inference: elucidate the regulatory mechanisms that drive and control changes in gene expression states.
- Challenge of learning temporal dynamics (e.g. state of differentiation) from static measurements.
- Reorder the cells according to their position along a differentiation path.
- Several tools have been recently developed (Review in Babtie et al., 2017)
- Monocle 2 (Qiu et al., 2017)
- TSCAN (Ji et al., 2016)
- RNA velocity (Manno et al. Nature 2018).


## Trajectory analysis with Monocle

- Monocle - Trapnell et al., 2014 - The original Monocle paper, which introduced the concept of pseudotime ordering for single-cell analysis


Pseudotime is a quantitative measure of biological progression through a process such as cell differentiation.Expression profile of each cell as a point in a high-dimensional Euclidean space - one dimension for each gene.
(2)

Dimensionality reduction - transforms the cell data from a highdimensional space into a low-dimensional one that preserves essential relationships between cell populations but is much easier to visualize and interpret.
Construction of a Minimum Spanning Tree (MST) on the cells (connects all the vertices (cells) together, without any cycles and with the minimum possible total edge weight) - already used $w /$ flow or mass cytometry.


Find the longest path through the MST, corresponding to the longest sequence of transcriptionally similar cells.

Use this sequence to produce a trajectory.

## Trajectory analysis with Monocle

- Monocle - Trapnell et al., 2014 - The original Monocle paper, which introduced the concept of pseudotime ordering for single-cell analysis


Monocle decomposed myoblast differentiation into a two-phase trajectory and isolated a branch of non-differentiating cells.
The first phase of the trajectory was primarily composed of cells collected under high-mitogen conditions (proliferating cells). Cells in the second phase were positive for markers of muscle differentiation (differentiating myoblasts). A tightly grouped third population of cells branched from the trajectory near the transition between phases. These cells lacked myogenic markers, but expressed PDGFRA and SPHK1, suggesting that they are contaminating interstitial mesenchymal cells and did not arise from the myoblasts.

New version of Monocle - Monocle 2 - applies reversed graph embedding (RGE), a recently developed machine learning strategy, to accurately reconstruct complex single-cell trajectories. Works on every data type (UMIs, TPM, etc.)

Primary human myoblast cultured in high-serum medium. After a switch to lowserum medium, cells were dissociated and individually captured at 24 h intervals ( $0 \mathrm{~h}, 24 \mathrm{~h}, 48 \mathrm{~h}$ and 72 h ). mRNA sequencing: C1 Fluidigm.

## Trajectory analysis with RNA velocity



b
 Degradation $\downarrow \gamma$

$$
\begin{aligned}
& \text { acation } \downarrow \gamma \\
& -7 \rho^{\circ} \cap \eta \\
& \frac{\mathrm{ds}}{\mathrm{~d} t}=u-\gamma s
\end{aligned}
$$


c



## Kinetics of transcription during human embryonic glutamatergic neurogenesis



# Over 1000 tools reveal trends in the singlecell RNA-seq analysis landscape 

Luke Zappia ${ }^{1,2}$ and Fabian J. Theis ${ }^{1,2,3^{*}}$ (c)

[^0]
#### Abstract

Recent years have seen a revolution in single-cell RNA-sequencing (scRNA-seq) technologies, datasets, and analysis methods. Since 2016, the scRNA-tools database has cataloged software tools for analyzing scRNA-seq data. With the number of tools in the database passing 1000, we provide an update on the state of the project and the field. This data shows the evolution of the field and a change of focus from ordering cells on continuous trajectories to integrating multiple samples and making use of reference datasets. We also find that open science practices reward developers with increased recognition and help accelerate the field.


## Spatial gene expression: The next frontier



Bulk RNA-seq
(~2008)


Single-cell RNA-seq singlecelre)


Spatial transcriptomics (2016)


[^0]:    * Correspondence: fabian.theis@ helmholtz-muenchen.de
    ${ }^{1}$ Institute of Computational Biology, Helmholtz Zentrum München, 85764 Neuherberg, Germany
    ${ }^{2}$ Department of Mathematics, Technical University of Munich, 85748 Garching bei München, Germany
    Full list of author information is available at the end of the article

