Protein Language Models

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Introduction: Proteins

ⁿ **Proteins**

• Heteropolymers made of 20 types of amino-acids (monomers) \rightarrow ~20¹⁰⁰ possible proteins

- A given natural protein folds into a compact and (almost) unique 3D **structure**
- ^l It has specific **interactions** with other molecules → **function**
- Experiment: random proteins do not fold properly Socolich et al. (2005)

 \rightarrow Natural proteins are special, due to natural selection for folding and function

Introduction: Protein sequence data

Accumulating sequence data (currently $> 10⁹$ sequences) https://www.ebi.ac.uk/ena/browser/about/statistics

Proteins: UniProt (The UniProt Consortium 2021)

 \rightarrow Great opportunity for machine learning methods to learn about proteins!

Goals: infer structure, function, interactions…

ⁿ **Protein families and multiple sequence alignments (MSAs)**

homologs -
a protein family

```
N-00-00000000000
    LTLTAKKDGPC
```


Introduction: Protein sequence data and inference

ⁿ **Inferring structure and function from sequences – conservation, correlations**

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ⁿ **Inferring structure and function from sequences – conservation, correlations**

Evolutionary coupling between interacting residues \rightarrow correlations in MSAs inform us about structure and function

Several approaches exploit these signatures to understand protein structure, interactions and function de Juan et al, 2013

ⁿ **Simple data-driven approach: retain some statistics**

One- and two-body frequencies; (generalized) covariances

$$
\begin{array}{ll}\n\ldots \text{LSHEL} \ldots \\
\ldots \text{VSHDI} \ldots \\
\ldots \text{VSHEL} \ldots\n\end{array}\n\quad \rightarrow\n\begin{cases}\nf_i(\alpha) & i \in \{1,..,L\} \\
f_{ij}(\alpha,\beta) & \alpha \in \{A_1,..,A_{20},A_{21}=-\}\n\end{cases}
$$

$$
C_{ij}(\alpha,\beta) = f_{ij}(\alpha,\beta) - f_i(\alpha)f_j(\beta)
$$

1. A few words about language models

2. Protein language models based on single sequences

3. Protein language models based on multiple sequence alignments

Masked Language Modeling in NLP

ⁿ **Masked Language Modeling objective: self-supervised learning**

Randomly **mask** a fraction of the **words** and train the model to predict them using the surrounding **context**

The man went to the **[MASK]** and bought a **[MASK]** of milk.

The model is trained to minimize a pseudo-likelihood loss:

$$
L_{MLM}(x,\theta) = -\sum_{m \in \text{mask}} \log p(x_m \mid \widetilde{x}; \theta) \quad \text{with } \widetilde{x} \text{ :masked sentence}
$$

Attention: Bahdanau et al 2014; transformer: Vaswani et al 2017

. Two types of objectives in NLP

MLM: predict masked words using the surrounding context (left and right) \rightarrow BERT, Devlin et al 2018 Autoregressive: predict next word using previous ones (left only) \rightarrow GPT, Radford et al 2018

BERT: Bidirectional Encoder Representations from Transformers

GPT: Generative Pre-trained Transformer

Both are deep learning models relying on the transformer architecture (Vaswani et al 2017)

Transformers in NLP

ⁿ **Transformer architecture**

M tokens \rightarrow *M* \times *M* softmax values

The Illustrated Transformer, Alammar

Full architecture

M tokens → *LA* matrices, ea

ΒΕRTBASE: *L* = 12, *A* = 12 (Total parameters = 110M)

Embeddings in NLP

Representation of data

- Each word is represented by a real-valued vector: "embeddings"
- But words can have different meaning depending on context: I sent a letter to my friend. versus This is a list of four-letter words.
- Context-dependent embeddings: each occurrence of a word has its own embedding • Such embeddings are learned

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Using NLP methods for protein sequences

ⁿ **Protein language models (pLMs): Direct use of NLP models, on a different kind of data**

• Sentence \rightarrow protein sequence; word \rightarrow amino acid (small vocabulary)

MLKVANKLKITLTKSTIGAI#KHKLTVSALGLGKLHSTNEVP#NAAIRG

- Models trained using MLM, e.g. ESM2 (Lin et al 2022)
- Or autoregressive modeling, e.g. ProtGPT2 (Ferruz et al 2022)

Limitations of each approach:

- AR models only benefit from partial information about the sequence; no natural temporal order in protein sequences, vs. language
- MLM are not ideal for generation

What do these models capture?

pLMs: ProtVec (Asgari et al 2015) SeqVec (Heinzinger et al 2019) ESM1, 1b, 2, 3 MSA Transformer **ProtBERT** ProtT5 ProtGPT ProGen Ankh **Tranception** PoET ProstT5 PST

Data representation in protein language models

ⁿ **Protein language models learn important features of protein sequence data**

Embeddings of ESM-1b – BERT model with 670M parameters (Rives et al 2021): "Through unsupervised learning, residues are clustered into hydrophobic, polar, and aromatic groups and reflect overall organization by molecular weight and charge" (left) "Protein sequence representations encode and organize biological variations" (right)

Transformer (trained)

Genes are colored by their orthologous group, and their species are indicated by a character label

Data representation in protein language models

ⁿ **Protein language models learn important features of protein sequence data**

Embeddings of ESM-1b – BERT model with 670M parameters (Rives et al 2021): "Final representations from trained models implicitly align sequences"

Some applications of protein language models

ⁿ **Structure prediction based on single-sequence language models**

Left - ESM-1b (Rives et al 2021): Attention coefficients capture structural contacts

Below - ESM-2 (Lin et al 2023): Larger model with better performance (Unsupervised) contact prediction is strongly affected by the number of existing homologs

Some applications of protein language models

ⁿ **Predicting the effect of mutations**

Ground truth: experimental deep mutational scans Predictions: ESM-1v single-sequence protein language model (Meier et al 2021)

Some applications of protein language models

ⁿ **Designing new protein sequences**

ProtGPT2 (Ferruz et al 2022): autoregressive transformer

Rosetta energy and flexibility patterns (from MD) similar to those of natural proteins

ProGen (Madani et al 2023): decoder transformer for *conditional* autoregressive generation

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A few words about AlphaFold

ⁿ **Recent developments in protein structure prediction – Jumper et al 2021** (chemistry Nobel prize 2024)

- ^l **Supervised** deep learning approaches AlphaFold, AlphaFold2 won CASP13 and **CASP14** Other model: RoseTTAFold (Baek et al 2021); open retraining: OpenFold (Ahdritz et al 2024)
- ^l Part of AlphaFold is a **protein language model trained on MSAs**

Jumper et al 2021

• AlphaFold3 (Abramson et al 2024): PairFormer module

MSA Transformer

ⁿ **Masked Language Modeling (MLM) objective on protein MSAs –** Rao et al 2021

Randomly mask (**#**) a fraction of the amino acids and train the model to predict them, using the surrounding context

The model is trained to minimize a pseudo-likelihood loss:

$$
\mathcal{L}_{\text{MLM}}(\mathcal{M}, \widetilde{\mathcal{M}}; \theta) = -\sum_{(m,i) \in \text{mask}} \log p(x_{m,i} | \widetilde{\mathcal{M}}; \theta) \qquad \text{M} \quad \text{MSA}
$$

$$
\widetilde{\mathcal{M}} \quad \text{masked MSA}
$$

MSA Transformer is similar to AlphaFold's EvoFormer, but it is self-supervised Here we focus on a model that works on MSAs – other ones work on single sequences

ⁿ **Adapting the transformer architecture to protein MSAs –** Rao et al 2021

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Context for an amino acid is both its column and its row ("axial attention" – Ho et al 2019)

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Coevolution \rightarrow row attention should be the same for all rows

12 (layers) \times 12 (heads) tied row attention units 12 × 12 independent column attention units 100M total parameters

ⁿ **Adapting the transformer architecture to protein MSAs –** Rao et al 2021

Training set:

- 26M MSAs corresponding to UniRef50 clusters
- average depth of MSAs: 1192

MSA Transformer's data representation

ⁿ **(Tied) row attentions capture structural contacts –** Rao et al 2021

- Simple combinations of the row attention softmax matrices allow contact prediction
- State-of-the-art unsupervised contact prediction

What kind of information is encoded in column attentions?

MSA Transformer's data representation

^l **Column attentions encode phylogenetic relationships –** Lupo et al 2022

- We fit a logistic model of the column attention matrices (averaged over columns) to predict the matrix of pairwise Hamming distances between sequences in MSAs
- Training: seed MSAs of 12 Pfam protein families; test: seed MSAs of 3 other Pfam families

 \rightarrow A simple combination of column attention heads "implements" Hamming distance

Generating sequences with MSA Transformer

ⁿ **Iterative masking algorithm based on MLM –** Sgarbossa et al 2023

Run iteratively this masking process on the same $MSA \rightarrow$ generate sequences

- Characterization of these sequences
- Comparison to sequences generated by a Potts model, using Metropolis-Hastings MCMC sampling (bmDCA Potts models are good generative models – Figliuzzi et al 2018, experimental validation Russ et al 2020)
- **Results:** Generated sequences are different from natural ones and score well for homology, coevolution and structural scores. Particularly promising for small protein families where Potts models overfit.

Predicting interaction partners with MSA Transformer

ⁿ **Coevolution can be used to infer interaction partners from sequences**

 \rightarrow Use correlations from coevolution to infer interaction partners (i.e. match paralogs): Bayesian tree (Burger & van Nimwegen 2009), Potts models (Bitbol et al 2016; Gueudre et al 2016) Mutual Information (Bitbol 2018) Potts or MI + phylogeny (Gandarilla-Pérez et al 2023) **MLM loss from MSA Transformer (Lupo, Sgarbossa et al 2024)**

Are MSAs really necessary?

ⁿ **Structure prediction based on single-sequence language models**

Motivations: - Some proteins have few homologs

- MSA construction is imperfect and slow
- Predicting structure from a single sequence = closer to "understanding protein folding"
-
- **Strategy:** Train language models on large ensembles of non-aligned single sequences

 - Add a structure module inspired by the one of AlphaFold2 AminoBERT → RGN2 (Chowdhury et al 2021); OmegaPLM → OmegaFold (Wua et al 2022); ESM-2 \rightarrow ESMFold (Lin et al 2023)

ESM-2 & ESMFold (Lin et al 2023): **(Unsupervised) contact prediction:**

- slightly less good than with MSA Transformer, even with many more parameters (15B vs. 100M)
- strongly affected by the number ofexisting homologs! **(Supervised) structure prediction:**
- less good than AlphaFold2
- much faster \rightarrow structure prediction at metagenomic scale

Are MSAs really necessary?

As of now, best performance for structure prediction requires MSAs

Optimistic take for single-sequence LMs: we just need more parameters (Lin et al 2023)

"Our current models are very far from the limit of scale in parameters, sequence data, and computing power that can in principle be applied. We are optimistic that as we continue to scale, there will be further emergence. Our results showing the improvement in the modeling of low depth proteins point in this direction."

Are MSAs really necessary?

As of now, best performance for structure prediction requires MSAs

Pessimistic take for single-sequence LMs: evolutionary information is crucial (Zhang et al 2024) "Some have wondered if pLMs have finally solved the "protein folding problem", given their accurate structure prediction from single sequences and no supplied co-evolutionary signal in an input multiple sequence alignment. This should have been quickly debunked, as the accuracy of models was found to be highly correlated to the number of related proteins in the training set, indicating that the models store evolutionary information in their parameters"

Isoform structure prediction is a challenge

Providing local windows of sequence information allows ESM-2 to best recover predicted contacts \rightarrow pLMs may predict contacts by storing motifs of pairwise contacts (Zhang et al 2024)

Some recent developments

An alternative to single sequences / MSAs: use homology but not MSAs

PoET (Truong et al 2023)

Transformer model trained on non-aligned homologs – uses both per-sequence attention and attention across sequences However: limitations in context length & expensive to train

ProtMamba (Sgarbossa, Malbranke et al 2024)

Uses state-space model (Mamba) architecture, which can handle very long contexts Starts from concatenated homologous sequences Combines autoregressive modeling and fill-in-the-middle objective (~MLM)

ⁿ **Structure-aware models; multi-modal models**

Structure-aware models relying on 3Di alphabet of FoldSeek (van Kempen et al 2023) ProstT5 (Heinzinger et al 2023), SaProt (Su et al 2023), ProSST (Li et al 2024)

Multi-modal models: ESM3 (Hayes et al 2024)

Thanks!

Self-attention and the Transformer

A computational unit that models "focussing on what's most relevant"

(Adapted from The Illustrated Transformer by Jay Alammar)

Multi-headed attention

Many **independent attention "heads"** for specialized focus

Stack many layers!

Hierarchical learning: each layer processes the previous layer's output.

